

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
051501/027 8726

In Re Application Of: [Name], et al.

Serial No.
09/805,449

Filing Date
March 13, 2001

Examiner
NYA

Group Art Unit
NYA

Title: MONOCYTE CHEMOATTRACTANT ACTIVITY OF GALECTIN-3

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

1) Assignment of Right, Title and Interest in Invention with Recordation Cover Sheet (PTO-1595); 2) Declaration and Power of Attorney for Patent Application; 3) Substitute Specification; 4) Substitute Drawings; 5) Copy of Notice to File Missing Parts of Nonprovisional Application; 6) Certificate of Mailing; 7) Reply Postcard

the above identified application.

☐ No additional fee is required.

☐ A check in the amount of _____ is attached.

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 03-3975 as described below. A duplicate copy of this sheet is enclosed.

☒ Charge the amount of \$2,260.00

☐ Credit any overpayment.

☐ Charge any additional fee required.

Signature

Dated: August 14, 2001

Robert M. Bedgood
Reg. No. 43,488

I certify that this document and fee is being deposited on August 14, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Linda Anders

Typed or Printed Name of Person Mailing Correspondence

cc: San Francisco Docketing
Roberta Miles

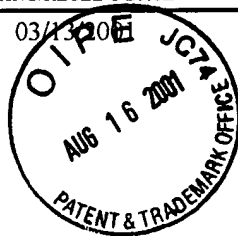


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/805,449	03/13/2001	Fu-Tong Liu	51501-278726

Pillsbury Winthrop LLP
Intellectual Property Group
50 Fremont Street
San Francisco, CA 94105-2228



CONFIRMATION NO. 9750

FORMALITIES LETTER



OC000000006268073

Date Mailed: 07/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1546.

08/20/2001	MBERHE	00000095	033975	09805449
01	FC:101	710.00	CH	
02	FC:105	130.00	CH	
03	FC:103	396.00	CH	
04	FC:102	880.00	CH	
05	FC:104	270.00	CH	

 - \$396 for 22 total claims over 20.
 - \$880 for 11 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2386.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

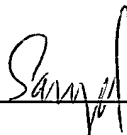
The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).
- Substitute drawings in compliance with 37 CFR 1.84 because:

09805449 "031601"

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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"09T80" 67450860

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Sector\$ #3.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Liu, et al.

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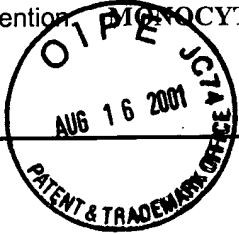
Examiner

NYA

Group Art Unit

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Invention: MONOCYTE CHEMOATTRACTANT ACTIVITY OF GALECTIN-3



I hereby certify that this Assignment, Recordation Cover Sheet and Declaration and Power of Attorney
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on August 14, 2001
(Date)

Linda Anders

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)